

02/02/01
JC971 U.S. PTO

UTILITY PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 145415.00001
Express Mail Label No. ET285021295US
Date February 2, 2001

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09/773487
02/02/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a patent application for

**PROCESSES AND SYSTEMS FOR ENABLING SECURE AND CONTROLLED DISTRIBUTION
AND USE OF INFORMATION**

identified by attorney docket no. (see above).

1. **Type of Application**

- ☒ This application is a new (non-continuing) application.
- ☐ This application is a ☐ continuation / ☐ divisional / ☐ continuation-in-part of prior application No. . Amend the specification by inserting before the first line the sentence:
- This is a [continuation/division/continuation-in-part] of United States patent application No. , filed .--
- ☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

If for some reason applicant has not requested a sufficient extension of time in the parent application, and/or has not paid a sufficient fee for any necessary response in the parent application and/or for the extension of time necessary to prevent the abandonment of the parent application prior to the filing of this application, please consider this as a Request for an Extension for the required time period and/or authorization to charge our Deposit Account No. 50-1682 for any fee that may be due. THIS FORM IS BEING FILED IN TRIPLICATE: one copy for this application; one copy for use in connection with the Deposit Account (if applicable); and one copy for the above-mentioned parent application (if any extension of time is necessary).

2. **Contents of Application**

- a. 51 page patent application (including specification, claims and abstract)
- ☐ A microfiche computer program (Appendix);
- ☐ A nucleotide and/or amino acid sequence submission;
- ☐ Because the enclosed application is in a non-English language, a verified English translation ☐ is enclosed ☐ will be filed.
- ☐ Cancel original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing date purposes.)
- b. ☒ Drawings on 14 sheet(s).

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- c. ☒ A signed Declaration ☒ is enclosed / ☐ will be filed in accordance with 37 C.F.R. § 1.53(f).

The enclosed Declaration is ☒ newly executed / ☐ a copy from a prior application under 37 C.F.R. § 1.63(d) / ☐ accompanied by a statement requesting the deletion of person(s) not inventors in the continuing application.

d. **Fees**

FILING FEE	Number				Number		Basic Fee	
CALCULATION	Filed				Extra	Rate	\$710.00	
Total Claims	66	-	20	=	46	x \$18.00	=	\$828.00
Independent Claims	8	-	3	=	5	x \$80.00	=	\$400.00
Multiple Dependent Claim(s) Used						\$270.00	=	\$270.00
FILING FEE - NON-SMALL ENTITY								\$2,208.00
<input checked="" type="checkbox"/> Applicant claims small entity status.								
Filing Fee - Small Entity (Reduced by 1/2)								\$1,104.00
Assignment Recordal Fee (\$40.00)								\$40.00
37 C.F.R. § 1.17(k) Fee (non-English application)								0.00
TOTAL								\$1,144.00

- ☒ A check is enclosed to cover the calculated fees. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 50-1682. A duplicate copy of this document is enclosed.
- ☐ The calculated fees will be paid within the time allotted for completion of the filing requirements.
- ☐ The calculated fees are to be charged to Deposit Account No. 50-1682. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to said Deposit Account. A duplicate copy of this document is enclosed.

3. **Priority Information**

- ☐ **Foreign Priority:** Priority based on Application No. , filed , is claimed.
- ☐ A copy of the above referenced priority document ☐ is enclosed / ☐ will be filed in due course, pursuant to 35 U.S.C. § 119(a)-(d).
- ☐ **Provisional Application Priority:** Priority based on United States Provisional Application No. , filed , is claimed under 35 U.S.C. § 119(e).

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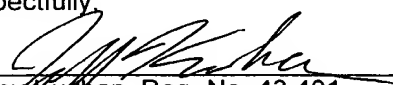
4. **Other Submissions**

- ☐ A Preliminary Amendment is enclosed.
- ☒ An Information Disclosure Statement, 2 sheets of PTO Form 1449, and copies of 8 cited references are enclosed.
- ☒ A power of attorney
- ☒ is submitted ☒ with the new Declaration.
- ☐ is of record in the prior application and ☐ is in the original papers / ☐ a copy is enclosed.
- ☒ An Assignment of the invention
- ☒ is enclosed with a cover sheet pursuant to 37 C.F.R. §§ 3.11, 3.28 and 3.31.
- ☐ is of record in a prior application. The assignment is to _____, and is recorded at Reel _____, Frame(s) _____.
- ☐ An Establishment of Assignee's Right To Prosecute Application Under 37 C.F.R. § 3.73(b), and Power Of Attorney is enclosed.
- ☒ An Express Mailing Certificate is enclosed.
- ☒ Other: acknowledgement postcard

Attention is directed to the fact that the correspondence address for this application is:

POWELL, GOLDSTEIN, FRAZER & MURPHY LLP
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Respectfully,


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EXPRESS MAILING CERTIFICATE

Applicant: Gregg B. Morrison
For: PROCESSES AND SYSTEMS FOR ENABLING
SECURE AND CONTROLLED DISTRIBUTION
AND USE OF INFORMATION

Attorney Docket No.: 145415.00001

Attorney: Jeffrey Kushan/Thomas Moga

Express Mail Mailing Label No.: ET285021295US

Date of Deposit: February 2, 2001

I hereby certify and verify that the accompanying PATENT APPLICATION including acknowledgement postcard, check for \$1,144.00, Transmittal Letter (in triplicate), 51 page patent application (41 pages specification, 9 pages claims, 1 page abstract), Declaration and Power of Attorney (attached to application), fourteen (14) sheets of drawings showing Figures 1-13, Assignment with required cover sheet (in duplicate), Information Disclosure Statement (in duplicate), PTO Form PTO/SB/08A (2 sheets) and copies of 8 cited references is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Box Patent Application, Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231.


Signature of Person Mailing Document(s)

EXHIBIT A

(a) All intellectual, industrial and other property rights in technology relating to products, devices, software, methods, processes, or systems that are or can be used in connection with any of the following:

- (1) determination of a digital identity based on characteristics of an object or device;
- (2) communication with, control of, or security of an object or device based on the determination of its digital identity or characteristics;
- (3) computer software security and anti-piracy measures and software media anti-piracy and license control;
- (4) electronic media delivery and license enforcement; including without limitation anti-piracy and license control for CD, DVD, and other media;
- (5) security and piracy deterrence for projected movies;
- (6) data compression, encryption, and transmission;
- (7) validation of the genuineness of data transmitted by electronic means;
- (8) identification of individuals based on keyboard usage and keystroke patterns;
- (9) use of lasers and prisms to generate and reproduce ranges of visible colors;
- (10) configuration and design of drives for compact disks, digital video disks, and diskettes; and
- (11) configuration and storage of data on compact disks, digital video disks, and diskettes;

and including, without limitation, all present and future products, methods, software, and devices currently known as ForensX (collectively, the "Technology").

(b) All computer software owned by Assignor, including without limitation all source code and object code, for or related to the Technology (the "Software").

(c) All intellectual, industrial and other property rights in and to the Software.

(d) All intellectual, industrial and other property rights in and to the full source language statement of the program or programs comprising the Software in a form suitable for reproduction and use by computer; complete program maintenance and other related documentation, including all technical notes and manuals, architectural schema, drawings, and release notes; and all other material necessary to allow a reasonably skilled programmer or

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analyst to understand, maintain, modify and enhance the Software without Assignor's assistance or reference to any other materials.

(e) All trademarks, service marks, trade names and related designations used to identify the Software and the Technology, including without limitation (i) ForensX; (ii) Digital License Security; (iii) DLS-D; (iv) DLS-I; (v) DiskGen; (vi) DiskGen Robot; (vii) Internet Domain Sentinel; (viii) IDS; (ix) LDC; (x) AVMS; (xi) Line Lock; (xii) Line Comm; (xiii) Garble Gate; (xiv) Pirate Catcher; (xv) Voveo; and (xvi) Privateer.